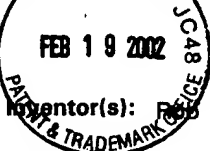


AGILENT TECHNOLOGIES, INC.  
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Intellectual Property Administration  
P. O. Box 7099  
Loveland, Colorado 80537-0599

PATENT APPLICATION

ATTORNEY DOCKET NO. 10010988-1



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Robert T. Cutler

Serial No.: 09/974504

Examiner:

Filing Date: Oct 10, 2001

Group Art Unit: 2673

Title: Response Calibration Scheme Using Frequency-Shifted Stimulus Signals

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COMMISSIONER FOR PATENTS  
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INFORMATION DISCLOSURE STATEMENT

Sir:

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This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 processing fee under 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 50-1078 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

☐ Applicant(s) submit herewith Form PTO 1449. All the references identified herein were disclosed in Patent Application No. \_\_\_\_\_ filed \_\_\_\_\_, now U. S. Patent No. \_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: January 29, 2002

Typed Name: Nelia T. de Guzman

Signature: Nelia T. de Guzman

Respectfully submitted,

Robert T. Cutler

By John L. Imperat  
John L. Imperat

Attorney/Agent for Applicant(s)  
Reg. No. 40,026

Date: January 29, 2002

## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

FEB 19 2002

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE

(Use several sheets if necessary)

ATTY. DOCKET NO.

10010988-1

SERIAL NO.

09/974,504

APPLICANT

Robert T. Cutler

FILING DATE

Oct. 10, 2001

GROUP

2673

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE | NAME |
|---------------------|--------------------|------|------|
|                     | 1A                 |      |      |
|                     | 1B                 |      |      |
|                     | 1C                 |      |      |
|                     | 1D                 |      |      |
|                     | 1E                 |      |      |
|                     | 1F                 |      |      |
|                     | 1G                 |      |      |
|                     | 1H                 |      |      |
|                     | 1I                 |      |      |
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## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT<br>NUMBER | DATE | NAME | TRANSLATION |    |
|--|--------------------|------|------|-------------|----|
|  |                    |      |      | YES         | NO |
|  | 1L                 |      |      |             |    |
|  | 1M                 |      |      |             |    |
|  | 1N                 |      |      |             |    |
|  | 1O                 |      |      |             |    |
|  | 1P                 |      |      |             |    |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|    |   |
|----|---|
| 1Q | Bernard Widrow and Samuel Sterns - "Adaptive Signal Processing"; Prentice-Hall, Copyright 1985; ISBN 0-13-004029-0; Page 204 - Channel Estimation; Page 206 - Applications Part IV. |
| 1R | David R. Smith - "Digital Transmission Systems"; Wiley, John & Sons, Inc., June 1985; ISBN 0534033822; Page 319 - Equalization.   |
| 1S | Copy of pending US Application No. 09/974,627 Filed October 10, 2001; Agilent Attorney Docket No. 10011338-1; Inventor - Robert T. Cutler.  |

EXAMINER

DATE CONSIDERED